

Attorney Docket No. **COUL-015/02US**

RECEIVED

FEB 04 2002

TECH CENTER 1600/25

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231 on December __, 2001.

By: _____

Sandy Baldwin

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of Dubois et al.

Serial No.: 09/879,442

Examiner: not yet assigned

Confirmation No.: not yet assigned

Art Unit: not yet assigned

Filed: June 11, 2001

For: ENZYME-CLEAVABLE PRODRUG COMPOUNDS

Commissioner for Patents
Washington, D.C. 20231

POWER BY ASSIGNEE AND STATEMENT UNDER 37 C.F.R. §3.73(b)

The Assignee of the entire right, title, and interest in the above-identified application hereby grants the registered practitioners of Cooley Godward LLP included in the Customer Number provided below power to act, prosecute, and transact all business in the U.S. Patent and Trademark Office in connection with this application, any applications claiming priority to this application, and any patents issuing therefrom.

The Assignee certifies that to the best of its knowledge and belief it is the owner of the entire right, title, and interest in and to the above-identified application as evidenced by:

[x] Assignment documents, filed for recordation in the U.S. Patent and Trademark Office on November 19, 2001, copies of which are enclosed herewith;



Attorney Docket No. COUL-015/02US
Application Serial No. 09/879,442
Page 2

[] An assignment previously recorded in the U.S. Patent and Trademark Office at Reel ___, Frame ___.

Please direct all telephone calls and correspondence to:

COOLEY GODWARD LLP
ATTN: Patent Group
Five Palo Alto Square
3000 El Camino Real
Palo Alto, CA 94306-2155
Tel: (650) 843-5000
Fax: (650) 857-0663

RECEIVED

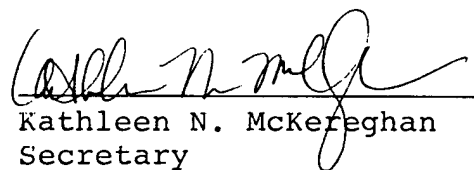
FEB 04 2002

TECH CENTER 1600/2900

CUSTOMER NUMBER: **23419**

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the Assignee.

Date: 12 Dec 2001

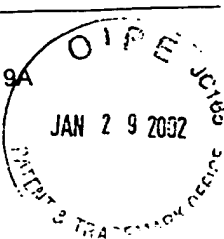
Signature: 

Name: Kathleen N. McKereghan

Title: Secretary

Company: Coulter Pharmaceutical Inc., a
subsidiary of Corixa Corporation

FORM PTO-1619A
Expires 06/30/99
OMB 0651-0027



U.S. Department of Commerce
Patent and Trademark Office
PATENT

**RECORDATION FORM COVER SHEET
PATENTS ONLY**

COPY

RECEIVED

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

Submission Type

- ☒ New
☐ Resubmission (Non-Recordation)
Document ID#
☐ Correction of PTO Error
Reel # Frame #
☐ Corrective Document
Reel # Frame #

Conveyance Type

- ☒ Assignment
☐ License
☐ Merger
☐ Security Agreement
☐ Change of Name
☐ Other

U.S. Government
For Use ONLY by U.S. Government Agencies
☐ Departmental File ☐ Secret File

FEB 04 2002

TECH CENTER 1600/290

Conveying Party(ies)

☒ Mark if additional names of conveying parties attached

Execution Date
Month Day Year

Oct. 12, 2001

Name (line 1) Sanjeev Gangwar

Name (line 2)

Second Party

Name (line 1) Thomas J. Lobl

Name (line 2)

Execution Date
Month Day Year

Oct. 19, 2001

Receiving Party

☐ Mark if additional names of receiving parties attached

If document to be recorded
is an assignment and the
receiving party is not
domiciled in the United
States, an appointment
of a domestic
representative is attached.
(Designation must be a
separate document from
Assignment.)

Name (line 1) Coulter Pharmaceutical Inc.

Name (line 2) a subsidiary of Corixa Corp., a corp. of Delaware

Address (line 1) 600 Gateway Blvd.

Address (line 2)

Address (line 3) South San Francisco

CA

State/Country

94080

Zip Code

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

FOR OFFICE USE ONLY

I hereby certify that this correspondence is being HAND-CARRIED to the U.S. Patent and Trademark Office in Washington, D.C., on
November 19, 2001

By: Rickey Lewis

Rickey Lewis

JAN 29 2002

Page 2

COPY

U.S. Department of Commerce
Patent and Trademark Office
PATENT

Correspondent Name and Address

Area Code and Telephone Number

(650) 843-5000

Name **Cooley Godward LLP**

Address (line 1) **Five Palo Alto Square**

Address (line 2) **3000 El Camino Real**

Address (line 3)

Address (line 4) **Palo Alto, California 94306-2155**

Pages

Enter total number of pages of the attached conveyance document including any attachments.

3 + 6

Application Number(s) or Patent Number(s)



Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

09/879,442

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

Patent Cooperation Treaty (PCT)

Enter PCT application number only if a U.S. Application Number has not been assigned.

PCT

PCT

PCT

PCT

PCT

PCT

Number of Properties

Enter the total number of properties involved.

1

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41):

\$

40.00

Method of Payment:

Deposit Account

Enclosed ☒

Deposit Account ☐

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

03-3117

Authorization to charge additional fees:

Yes

☒

No

☐

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Gurjeev K. Sachdeva, Reg. No. 37,434

Name of Person Signing

Gurjeev K. Sachdeva

Signature

16 Nov 2001

Date

JAN 29 2002

RECORDATION FORM COVER SHEET
CONTINUATION
PATENTS ONLY

COPY

U.S. Department of Commerce
Patent and Trademark Office
PATENT

Conveying Party(ies)

Enter additional Conveying Parties

Mark if additional names of conveying parties
attachedExecution Date
Month Day Year

Name (line 1) Matthew H. Nieder

Oct. 12, 2001

Name (line 2)

Execution Date
Month Day Year

Name (line 1) Lesley B. Pickford

Oct. 15, 2001

Name (line 2)

Execution Date
Month Day Year

Name (line 1) Geoffrey T. Yarranton

Oct. 15, 2001

Name (line 2)

Receiving Party(ies)

Enter additional Receiving Party(ies)



Mark if additional names of conveying parties attached

Name (line 1)

If document to be recorded
is an assignment and the
receiving party is not
domiciled in the United
States, an appointment
of a domestic representative
is attached. (Designation
must be a separate
document from
Assignment.)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

Name (line 1)

If document to be recorded
is an assignment and the
receiving party is not
domiciled in the United
States, an appointment of a
domestic representative is
attached. (Designation must
be a separate document from
Assignment.)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

Application Number(s) or Patent Number(s)



Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

RECORDATION FORM COVER SHEET
CONTINUATION
PATENTS ONLY

U.S. Department of Commerce
Patent and Trademark Office
PATENT

COPY

JAN 29 2002

Conveying Party(ies)

Enter additional Conveying Parties



Mark if additional names of conveying parties attached

Execution Date
Month Day Year

Name (line 1) Vincent Dubois

Oct. 18, 2001

Name (line 2)

Name (line 1) Anne Marie Fernandez

Execution Date
Month Day Year

Oct. 18, 2001

Name (line 2)

Name (line 1) Andre Trouet

Execution Date
Month Day Year

Oct. 18, 2001

Name (line 2)

Receiving Party(ies)

Enter additional Receiving Party(ies)



Mark if additional names of conveying parties attached

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Application Number(s) or Patent Number(s)



Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

RECORDATION FORM COVER SHEET
CONTINUATION
PATENTS ONLY

U.S. Department of Commerce
Patent and Trademark Office
PATENT

COPY

JAN 29 2002

Conveying Party(ies)
Enter additional Conveying Parties

☐

Mark if additional names of conveying parties
attached

Execution Date
Month Day Year

Name (line 1) Evan Lewis

Oct. 15, 2001

Name (line 2)

Execution Date
Month Day Year

Name (line 1)

Name (line 2)

Execution Date
Month Day Year

Name (line 1)

Name (line 2)

Receiving Party(ies)
Enter additional Receiving Parties

☐

Mark if additional names of conveying parties attached

Name (line 1)

☐

If document to be recorded
is an assignment and the
receiving party is not
domiciled in the United
States, an appointment
of a domestic representative
is attached. (Designation
must be a separate
document from
Assignment.)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

Name (line 1)

☐

If document to be recorded
is an assignment and the
receiving party is not
domiciled in the United
States, an appointment of a
domestic representative is
attached. (Designation must
be a separate document from
Assignment.)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

Application Number(s) or Patent Number(s)

☐

Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

ASSIGNMENT

COPY

Whereas,

Vincent Dubois, residing at Rue de Wangenies 124, B-6220 Fleurus, Belgium;
Anne Marie Fernandez, residing at Avenue de la Couronne 1E, B-1050 Brussels, Belgium;
Sanjeev Gangwar, residing at 1354 3rd Street, #102, Alameda, California 94501;
Evan Lewis, residing at 166 Palisades Drive, Daly City, California 94015;
Thomas J. Lobl, residing at 620 Plymouth Lane, Foster City, California 94404;
Matthew H. Nieder, residing at 1107 Edgehill Drive, Burlingame, CA 94010;
Lesley B. Pickford, residing at 345 Elm Street, Menlo Park, California 94025;
Andre Trouet, residing at Predikherenberg 29, B-3009 Herent, Belgium and
Geoffrey T. Yarranton, residing at 1148 Balboa Avenue, Burlingame, California 94010;

(hereinafter individually and collectively referred to as "Inventor") have made an invention relating to certain new and useful improvements in:

ENZYME-CLEAVABLE PRODRUG COMPOUNDS

and executed therefor an Application for Letters Patent of the United States

[] having an oath or declaration executed on even date herewith;
[X] filed on June 11, 2001 and identified as Attorney Docket No. COUL-015/02US
now bearing U.S. Serial No. 09/879,442.
[] issued as a Patent No. ____ on

Whereas, Coulter Pharmaceutical, Inc., a subsidiary of Corixa Corporation, (hereinafter "Assignee"), a corporation of Delaware, and having a principal place of business at 600 Gateway Blvd., South San Francisco, California 94080, is desirous of acquiring the entire right, title, and interest in and to said invention, said Application, and the Letters Patent to be obtained therefor:

Now, therefore, for and in consideration of One Dollar and other good and valuable considerations, to Inventor in hand paid, the receipt and sufficiency whereof are hereby acknowledged, Inventor has sold, assigned, and set over and by these presents does hereby sell, assign, and set over unto Assignee and Assignee's legal representatives, successors and assigns, the entire right, title, and interest in and to said invention, said Application and any other application, domestic or foreign, that claims said invention, as well as any Letters Patent, domestic or foreign, that may or shall issue thereon; and Inventor does hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to the above-mentioned Assignee agreeably with the terms of this Assignment.

The terms "Application" and "Application for Letters Patent" as used herein include both provisional and non-provisional applications.

COPY

Inventor hereby authorizes the above-mentioned Assignee or its legal representative to insert in this instrument the filing date and serial number of said Application or any other information that may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

Upon said consideration, Inventor conveys to Assignee the right to make application in its own behalf for protection of said invention in countries foreign to the United States and where expedient to claim under the International Convention or other international arrangement for any such application the date of the United States application (or other application if any there be) in priority to other applications; and **Inventor does hereby covenant** and agree with Assignee that Inventor will not execute any writing or do any act whatsoever conflicting with these presents, and that Inventor will at any time upon request, without further or additional consideration, but at the expense of Assignee, execute such additional assignments and other writings and do such additional acts as Assignee may deem necessary or desirable to perfect Assignee's enjoyment of this grant, and render all necessary assistance in making application for and obtaining original, divisional, continuation, continuation-in-part, renewal, reexamined, reissued or extended Letters Patent of the United States or of any and all foreign countries on said invention, and in enforcing any rights or chose in action accruing as a result of such applications or patents, by giving testimony in any proceedings or transactions involving such applications or patents, and by executing preliminary statements and other affidavits, it being understood that the foregoing covenant and agreement shall bind and inure to the benefit of the assigns and legal representatives of both parties.

Date: _____

By: _____
Vincent Dubois

Date: _____

By: _____
Anne Marie Fernandez

Date: 10/12/01

By: Sanjeev Gangwar
Sanjeev Gangwar

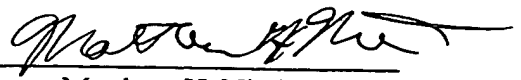
Date: _____

By: _____
Evan Lewis

Date: 12/19/01

By: Thomas J. Lobl
Thomas J. Lobl

Date: 12 Oct. 2001

By: 
Matthew H. Nieder

Date: 15 Oct, 2001

By: 
Lesley B. Pickford

Date: _____

By: _____
Andre Trouet

Date: 15 Oct, 2001

By: 
Geoffrey T. Yarranton

COPY

ASSIGNMENT

COPY

Whereas,

Vincent Dubois, residing at Rue de Wangenies 124, B-6220 Fleurus, Belgium;
Anne Marie Fernandez, residing at Avenue de la Couronne 1E, B-1050 Brussels,
Belgium;

Sanjeev Gangwar, residing at 1354 3rd Street, #102, Alameda, California 94501;
Evan Lewis, residing at 166 Palisades Drive, Daly City, California 94015;
Thomas J. Lobl, residing at 620 Plymouth Lane, Foster City, California 94404;
Matthew H. Nieder, residing at 1107 Edgehill Drive, Burlingame, CA 94010;
Lesley B. Pickford, residing at 345 Elm Street, Menlo Park, California 94025;
Andre Trouet, residing at Predikherenberg 29, B-3009 Herent, Belgium and
Geoffrey T. Yarranton, residing at 1148 Balboa Avenue, Burlingame, California
94010;

(hereinafter individually and collectively referred to as "Inventor") have made an
invention relating to certain new and useful improvements in:

ENZYME-CLEAVABLE PRODRUG COMPOUNDS

and executed therefor an Application for Letters Patent of the United States

[] having an oath or declaration executed on even date herewith;

[X] filed on June 11, 2001 and identified as Attorney Docket No. COUL-015/02US
now bearing U.S. Serial No. 09/879,442.

[] issued as a Patent No. ____ on

Whereas, Coulter Pharmaceutical, Inc., a subsidiary of Corixa Corporation,
(hereinafter "Assignee"), a corporation of Delaware, and having a principal place of
business at 600 Gateway Blvd., South San Francisco, California 94080, is desirous of
acquiring the entire right, title, and interest in and to said invention, said Application, and
the Letters Patent to be obtained therefor:

Now, therefore, for and in consideration of One Dollar and other good and
valuable considerations, to Inventor in hand paid, the receipt and sufficiency whereof are
hereby acknowledged, Inventor has sold, assigned, and set over and by these presents
does hereby sell, assign, and set over unto Assignee and Assignee's legal representatives,
successors and assigns, the entire right, title, and interest in and to said invention, said
Application and any other application, domestic or foreign, that claims said invention, as
well as any Letters Patent, domestic or foreign, that may or shall issue thereon; and
Inventor does hereby authorize and request the Commissioner of Patents and Trademarks
to issue said Letters Patent to the above-mentioned Assignee agreeably with the terms of
this Assignment.

COPY Page 2


The terms "Application" and "Application for Letters Patent" as used herein include both provisional and non-provisional applications.

Inventor hereby authorizes the above-mentioned Assignee or its legal representative to insert in this instrument the filing date and serial number of said Application or any other information that may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

Upon said consideration, Inventor conveys to Assignee the right to make application in its own behalf for protection of said invention in countries foreign to the United States and where expedient to claim under the International Convention or other international arrangement for any such application the date of the United States application (or other application if any there be) in priority to other applications; and **Inventor does hereby covenant** and agree with Assignee that Inventor will not execute any writing or do any act whatsoever conflicting with these presents, and that Inventor will at any time upon request, without further or additional consideration, but at the expense of Assignee, execute such additional assignments and other writings and do such additional acts as Assignee may deem necessary or desirable to perfect Assignee's enjoyment of this grant, and render all necessary assistance in making application for and obtaining original, divisional, continuation, continuation-in-part, renewal, reexamined, reissued or extended Letters Patent of the United States or of any and all foreign countries on said invention, and in enforcing any rights or chose in action accruing as a result of such applications or patents, by giving testimony in any proceedings or transactions involving such applications or patents, and by executing preliminary statements and other affidavits, it being understood that the foregoing covenant and agreement shall bind and inure to the benefit of the assigns and legal representatives of both parties.

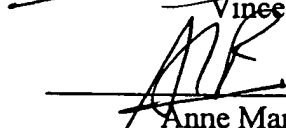
Date: OCTOBER 18, 2001

By:


Vincent Dubois

Date: OCTOBER 18, 2001

By:


Anne Marie Fernandez

Date: _____

By:

Sanjeev Gangwar

Date: _____

By:

Evan Lewis

Date: _____

By:

Thomas J. Lobl

COPY

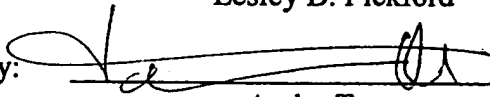
Date: _____

By: _____
Matthew H. Nieder

Date: _____

By: _____
Lesley B. Pickford

Date: Oct. 18, 2001

By: 
Andre Trouet

Date: _____

By: _____
Geoffrey T. Yarranton

ASSIGNMENT

Whereas,

Vincent Dubois, residing at Rue de Wangenies 124, B-6220 Fleurus, Belgium;
Anne Marie Fernandez, residing at Avenue de la Couronne 1E, B-1050 Brussels,
Belgium;

Sanjeev Gangwar, residing at 1354 3rd Street, #102, Alameda, California 94501;
Evan Lewis, residing at 166 Palisades Drive, Daly City, California 94015;
Thomas J. Lobl, residing at 620 Plymouth Lane, Foster City, California 94404;
Matthew H. Nieder, residing at 1107 Edgehill Drive, Burlingame, CA 94010;
Lesley B. Pickford, residing at 345 Elm Street, Menlo Park, California 94025;
Andre Trouet, residing at Predikherenberg 29, B-3009 Herent, Belgium and
Geoffrey T. Yarranton, residing at 1148 Balboa Avenue, Burlingame, California
94010;

(hereinafter individually and collectively referred to as "Inventor") have made an
invention relating to certain new and useful improvements in:

ENZYME-CLEAVABLE PRODRUG COMPOUNDS

and executed therefor an Application for Letters Patent of the United States

[] having an oath or declaration executed on even date herewith;
[X] filed on June 11, 2001 and identified as Attorney Docket No. COUL-015/02US
now bearing U.S. Serial No. 09/879,442.
[] issued as a Patent No. ____ on

Whereas, Coulter Pharmaceutical, Inc., a subsidiary of Corixa Corporation,
(hereinafter "Assignee"), a corporation of Delaware, and having a principal place of
business at 600 Gateway Blvd., South San Francisco, California 94080, is desirous of
acquiring the entire right, title, and interest in and to said invention, said Application, and
the Letters Patent to be obtained therefor:

Now, therefore, for and in consideration of One Dollar and other good and
valuable considerations, to Inventor in hand paid, the receipt and sufficiency whereof are
hereby acknowledged, Inventor has sold, assigned, and set over and by these presents
does hereby sell, assign, and set over unto Assignee and Assignee's legal representatives,
successors and assigns, the entire right, title, and interest in and to said invention, said
Application and any other application, domestic or foreign, that claims said invention, as
well as any Letters Patent, domestic or foreign, that may or shall issue thereon; and
Inventor does hereby authorize and request the Commissioner of Patents and Trademarks
to issue said Letters Patent to the above-mentioned Assignee agreeably with the terms of
this Assignment.

The terms "Application" and "Application for Letters Patent" as used herein include both provisional and non-provisional applications.

Inventor hereby authorizes the above-mentioned Assignee or its legal representative to insert in this instrument the filing date and serial number of said Application or any other information that may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

Upon said consideration, Inventor conveys to Assignee the right to make application in its own behalf for protection of said invention in countries foreign to the United States and where expedient to claim under the International Convention or other international arrangement for any such application the date of the United States application (or other application if any there be) in priority to other applications; and Inventor does hereby covenant and agree with Assignee that Inventor will not execute any writing or do any act whatsoever conflicting with these presents, and that Inventor will at any time upon request, without further or additional consideration, but at the expense of Assignee, execute such additional assignments and other writings and do such additional acts as Assignee may deem necessary or desirable to perfect Assignee's enjoyment of this grant, and render all necessary assistance in making application for and obtaining original, divisional, continuation, continuation-in-part, renewal, reexamined, reissued or extended Letters Patent of the United States or of any and all foreign countries on said invention, and in enforcing any rights or chose in action accruing as a result of such applications or patents, by giving testimony in any proceedings or transactions involving such applications or patents, and by executing preliminary statements and other affidavits, it being understood that the foregoing covenant and agreement shall bind and inure to the benefit of the assigns and legal representatives of both parties.

Date: _____

By: _____
Vincent Dubois

Date: _____

By: _____
Anne Marie Fernandez

Date: _____

By: _____
Sanjeev GangwarDate: 15 October 2001By: Evan Lewis
Evan Lewis

Date: _____

By: _____
Thomas J. Lobl

COPY

Date: _____

By: _____
Matthew H. Nieder

Date: _____

By: _____
Lesley B. Pickford

Date: _____

By: _____
Andre Trouet

Date: _____

By: _____
Geoffrey T. Yarranton